

## Combined Declaration for Patent Application and Power of Attorney

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled SYNTHETIC IMMUNOGENIC BUT NON-AMYLOIDOGENIC PEPTIDES HOMOLOGOUS TO AMYLOID  $\beta$  FOR INDUCTION OF AN IMMUNE RESPONSE TO AMYLOID  $\beta$  AND AMYLOID DEPOSITS

the specification of which (check one)

- ☐ is attached hereto;  
☒ was filed in the United States under 35 U.S.C. §111 on May 22, 2001, as U.S. Appln. No. 09/861,847 \*; or  
☐ was/will be filed in the U.S. under 35 U.S.C. §371 by entry into the U.S. national stage of an international (PCT) application, PCT/\_\_\_\_\_; filed \_\_\_\_\_, entry requested on \_\_\_\_\_ \*; national stage application received U.S. Appln. No. \_\_\_\_\_ \*; §371/§102(c) date \_\_\_\_\_ \* (\* if known)

and was amended on \_\_\_\_\_ (if applicable).  
*(include dates of amendments under PCT Art. 19 and 34 if PCT)*

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and I acknowledge the duty to disclose to the Patent and Trademark Office (PTO) all information known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119 (a)-(d) and 365 (b) of any prior foreign application(s) for patent or inventor's certificate, or §365(a) of any prior PCT application(s) designating a country other than the U.S., listed below with the "Yes" box checked, and have also identified below, by checking the "No" box, any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application on which priority is claimed:

_____ (Number)	_____ (Country)	_____ (Day Month Year Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional applications listed below:

60/605,578 _____ (Application No.)	22 May 2000 _____ (Day Month Year Filed)
_____ (Application No.)	_____ (Day Month Year Filed)

I hereby claim the benefit under 35 U.S.C. §120 of any prior U.S. non-provisional application(s) or under §365(c) of any prior PCT international application(s) designating the U.S., listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such U.S. or PCT international application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the PTO all information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application No.)	_____ (Day Month Year Filed)	_____ (Status: patented, pending, abandoned)
_____ (Application No.)	_____ (Day Month Year Filed)	_____ (Status: patented, pending, abandoned)

As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All of the practitioners associated with Customer Number 001444

Direct all correspondence to the address associated with Customer Number 001444, which is presently:

**BROWDY AND NEIMARK, P.L.L.C.**  
 624 Ninth Street, N.W.  
 Washington, D.C. 20001-5303  
 (202) 628-5197

The undersigned hereby authorizes the U.S. Attorneys or Agents appointed herein to accept and follow instructions from NEW YORK UNIVERSITY as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. Attorneys or Agents and the undersigned. In the event of a change of the persons from whom instructions may be taken, the U.S. Attorneys or Agents appointed herein will be so notified by the undersigned.

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Atty. Docket: FRANGIONE-2A

Title: SYNTHETIC IMMUNOGENIC BUT NON-AMYLOIDOGENIC PEPTIDES HOMOLOGOUS TO AMYLOID  $\beta$  FOR INDUCTION OF AN IMMUNE RESPONSE TO AMYLOID  $\beta$  AND AMYLOID DEPOSITSU.S. Application filed May 22, 2001, Serial No. 09/861,847

PCT Application filed \_\_\_\_\_, Serial No. \_\_\_\_\_

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST INVENTOR Blas FRANGIONE	INVENTOR'S SIGNATURE <i>Blas Frangione</i>	DATE 10/02/01
RESIDENCE New York, New York	CITIZENSHIP United States	
POST OFFICE ADDRESS 330 East 38 <sup>th</sup> Street, Apt. 35B, New York, New York 10016		
FULL NAME OF SECOND JOINT INVENTOR Thomas WISNIEWSKI	INVENTOR'S SIGNATURE <i>Thomas Wisniewski</i>	DATE 10/02/01
RESIDENCE Staten Island, New York	CITIZENSHIP United States	
POST OFFICE ADDRESS 86 Ward Avenue, Staten Island, New York 10304		
FULL NAME OF THIRD JOINT INVENTOR Einar M. SIGURDSSON	INVENTOR'S SIGNATURE <i>Einar M. Sigurdsson</i>	DATE 10/02/01
RESIDENCE New York, New York	CITIZENSHIP Iceland	
POST OFFICE ADDRESS — <u>SUFFOLK</u> 120 Suffolk Street, Apt. 2B, New York, New York 10002		
FULL NAME OF FOURTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		
FULL NAME OF FIFTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		
FULL NAME OF SIXTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		
FULL NAME OF SEVENTH JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE	CITIZENSHIP	
POST OFFICE ADDRESS		

ALL INVENTORS MUST REVIEW APPLICATION AND DECLARATION BEFORE SIGNING. ALL ALTERATIONS MUST BE INITIALED AND DATED BY ALL INVENTORS PRIOR TO EXECUTION. NO ALTERATIONS CAN BE MADE AFTER THE DECLARATION IS SIGNED. ALL PAGES OF DECLARATION MUST BE SEEN BY ALL INVENTORS.

**SUPPLEMENTAL DECLARATION**

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor if only one name is listed below, or a joint inventor if plural inventors are named below, of the invention entitled:

**SYNTHETIC IMMUNOGENIC BUT NON-AMYLOIDOGENIC PEPTIDES  
HOMOLOGOUS TO AMYLOID BETA FOR INDUCTION OF AN  
IMMUNE RESPONSE TO AMYLOID BETA AND AMYLOID DEPOSITS**

which is described and claimed in:

1. the specification in application Serial No. 09/861,847, filed May 22, 2001;
2. the preliminary amendment filed June 5, 2001; and
3. the amendment filed February 24, 2003;

that I do not know and do not believe that the same was ever known or used in the United States of America before my or our invention thereof or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, that I acknowledge my duty to disclose information of which I am aware which is material to patentability in accordance with 37 CFR §1.56.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I hereby claim the priority benefits under 35 U.S.C. §119 of any application(s) for patent or inventor's certificate listed below. All foreign applications for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns prior to the application(s) of which priority is claimed are also identified below.

**PRIOR APPLICATION(S), IF ANY, OF WHICH PRIORITY IS CLAIMED**

COUNTRY  
U.S.A.

APPLICATION NO  
60/205,578

DATE OF FILING  
05/22/2000

**FULL NAME AND RESIDENCE OF INVENTOR 1**

LAST NAME: FRANGIONE FIRST NAME: Blas MIDDLE NAME:

CITY: New York STATE OR FOREIGN COUNTRY: New York COUNTRY OF CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: 330 East 38<sup>th</sup> Street, Apt. 35B CITY: New York STATE OR COUNTRY: New York

ZIP CODE: 10016

**FULL NAME AND RESIDENCE OF INVENTOR 2**

LAST NAME: WISNIEWSKI FIRST NAME: Thomas MIDDLE NAME:

CITY: Staten Island STATE OR FOREIGN COUNTRY: New York COUNTRY OF CITIZENSHIP: U.S.A.

POST OFFICE ADDRESS: 86 Ward Avenue CITY: Staten Island STATE OR COUNTRY: New York ZIP CODE: 10304

**FULL NAME AND RESIDENCE OF INVENTOR 3**

LAST NAME: SIGURDSSON FIRST NAME: Einar MIDDLE NAME: M.

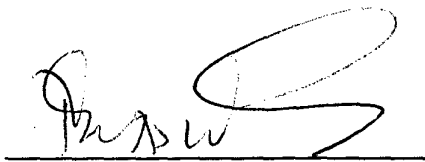
CITY: New York STATE OR FOREIGN COUNTRY: New York COUNTRY OF CITIZENSHIP: Iceland


POST OFFICE ADDRESS: 131 East 93<sup>rd</sup> Street, Apt. 5C CITY: New York STATE OR COUNTRY: New York

ZIP CODE: 10128

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1:  DATED: 9/16/03  
BLAS FRANGIONE

SIGNATURE OF INVENTOR 2:  DATED: 9/16/03  
THOMAS WISNIEWSKI

SIGNATURE OF INVENTOR 3:  DATED: 9/16/03  
EINAR M. SIGURDSSON

EXPRESS MAIL CERTIFICATE

Date 9/19/03 Label No. 5K306628295-05

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by Express Mail Post Office to Addressee service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Name (Print)

Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No: 5986/1K433US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Blas FRANGIONE, et al.

Serial No.: 09/861,847

Art Unit: 1646

Filed: May 22, 2001

Examiner:

For: SYNTHETIC IMMUNOGENIC BUT NON-AMYLOIDOGENIC PEPTIDES  
HOMOLOGOUS TO AMYLOID  $\beta$  FOR INDUCTION OF AN IMMUNE  
RESPONSE TO AMYLOID  $\beta$  AND AMYLOID DEPOSITS

**REVOCATION OF POWER OF ATTORNEY, SUBSTITUTE  
POWER OF ATTORNEY, AND CHANGE OF CORRESPONDENCE  
ADDRESS**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

The undersigned assignee of the above-identified application hereby  
revokes all prior powers of attorney, and appoints

Michael J. Sweedler (No. 19,937)  
S. Peter Ludwig (No. 25,351)  
Paul Fields (No. 20,298)  
Joseph B. Lerch (No. 26,936)

Melvin C. Garner (No. 26,272)  
Ethan Horwitz (No. 27,646)  
Beverly B. Goodwin (No. 28,417)  
Bert J. Lewen (No. 19,407)  
Henry Sternberg (No. 22,408)  
Peter C. Schechter (No. 31,662)  
Robert Schaffer (No. 31,194)  
Adda C. Gogoris (No. 29,714)  
Robert C. Sullivan, Jr. (No. 30,499)  
Ira J. Levy (No. 35,587)  
Joseph R. Robinson (No. 33,448)  
Paul F. Fehlner, Ph.D. (No. 35,135)  
David Leason (No. 36,195)  
Edward J. Ellis (No. 40,389)

all attorneys of the law firm of Darby & Darby P.C., located at 805 Third Avenue, New York, New York 10022, as his attorneys with full power of substitution and revocation, to prosecute said application, and to transact all business in the United States Patent and Trademark Office in connection therewith.

The assignee of the present application, New York University, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the U.S. Patent and Trademark Office at Reel 011986, Frame 0823.

The undersigned has reviewed the evidentiary documents in the chain of title of the patent application identified above and certifies that, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take action. The undersigned is empowered to act on behalf of the assignee.

Please address all communications relating to the present application to S. Peter Ludwig, Darby & Darby P.C. at the above address.

Dated: 4/3/02



NAME: Abram M. Goldfinger, M. B.A.  
TITLE: Director Office of Industrial Liaison  
COMPANY: New York University